

# Designing People+AI Systems

Human-AI Interaction

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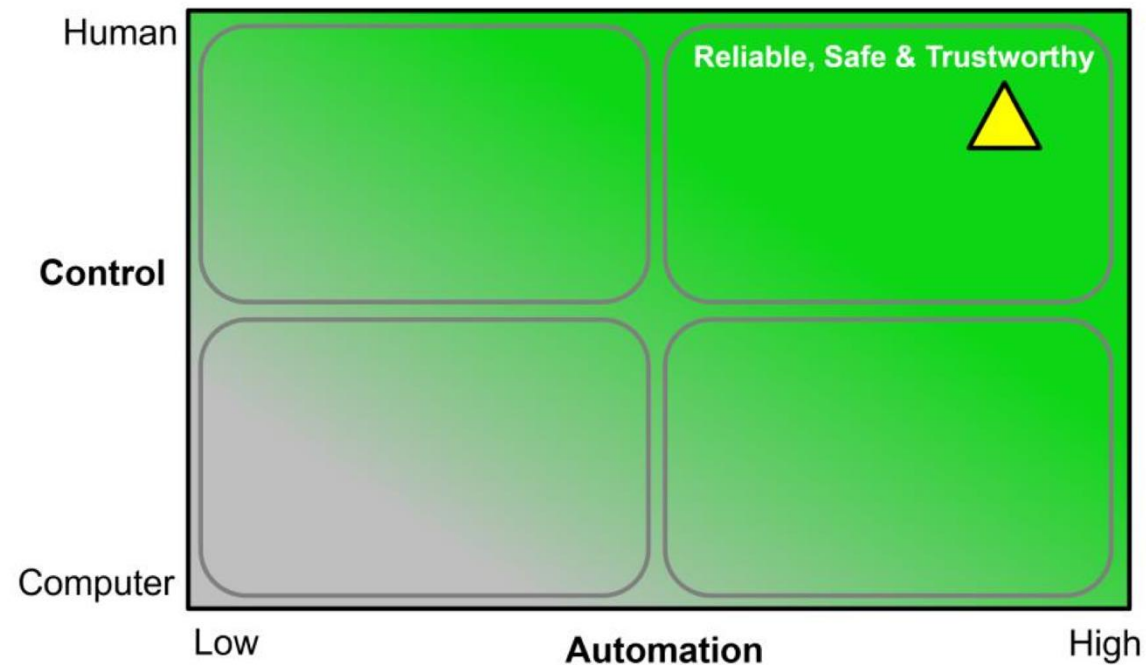
# Summary

- Paradigms for Human-AI Interaction
- AI: Risks, Benefits, and User Tolerance
- Choosing the People+AI Path: Guidelines for Human-AI Interaction
- Design & Evaluation Workshop
  - You will work in groups:  
[https://docs.google.com/spreadsheets/d/1mxKcUw\\_5fp5aXnwNJC8BArlyjRYH8qbB-zEk6-XaZPc/](https://docs.google.com/spreadsheets/d/1mxKcUw_5fp5aXnwNJC8BArlyjRYH8qbB-zEk6-XaZPc/)

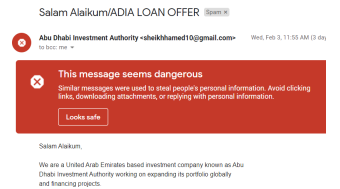
# Interaction Paradigms

# Human-Centered AI Framework

- What if do we move to a 2D framework?

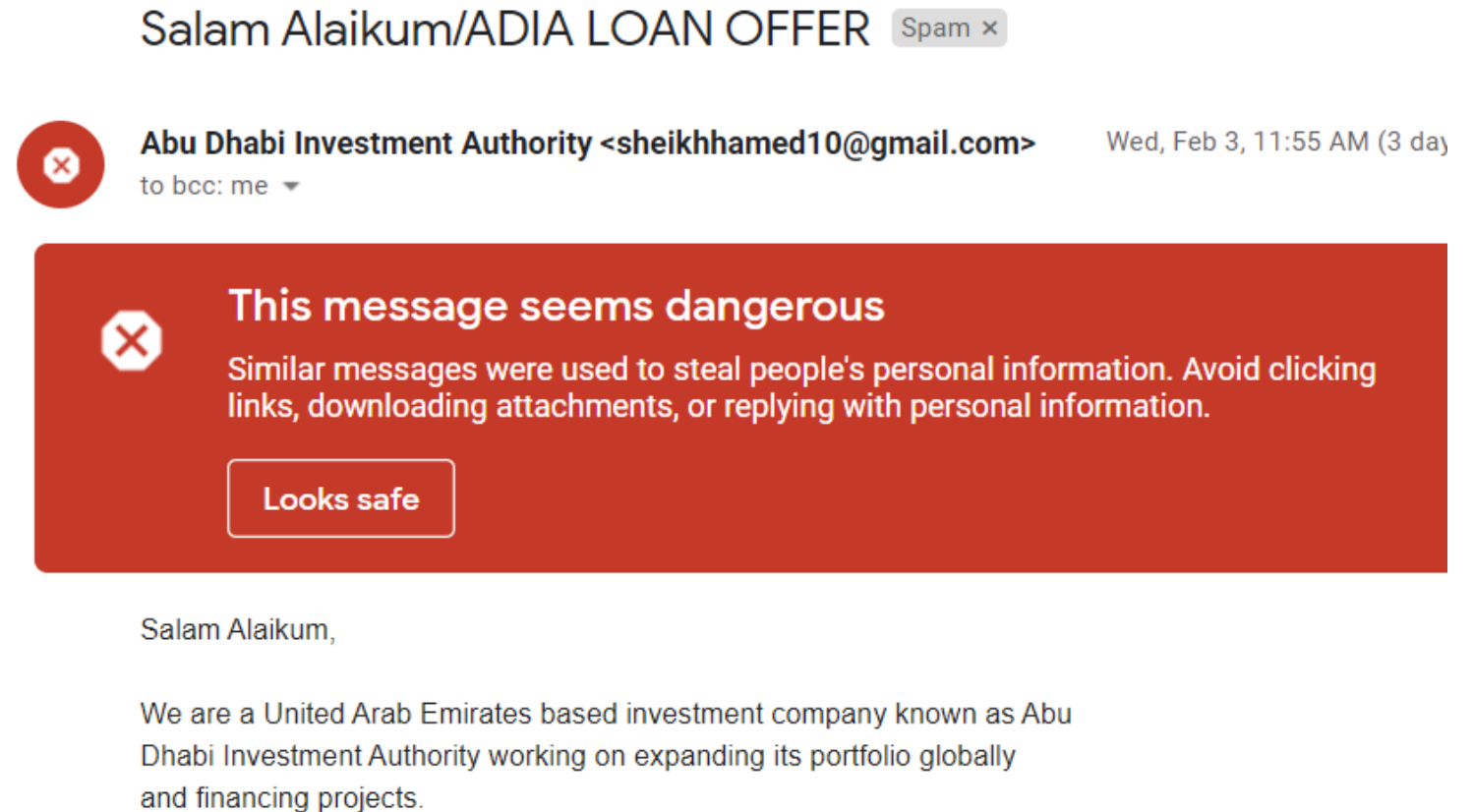


Ben Shneiderman, *Human-Centered Artificial Intelligence: Reliable, Safe & Trustworthy*. 2020. International Journal of Human-Computer Interaction. <https://doi.org/10.1080/10447318.2020.1741118>



# Gmail spam filter

- No input needed
- User can override decisions already taken by the system



# Google Nest thermostat

- Initial set up
- Automatic learning  
(very sensitive in the first two weeks, much less after)
- Continuous adjustments in time



<https://www.youtube.com/watch?v=20367DapHlc>

# Google Nest thermostat

- Automatic learning  
(very sensitive in the first two weeks, much less after)
- Continuous adjustments in time

Pattern of temperature changes	How it changes your thermostat's schedule
Two weekdays in a row (Monday and Tuesday)	All weekdays (Monday to Friday)
Same day two weeks in a row (two Mondays in a row)	That day of the week (every Monday)
Two weekend days in row (Saturday and Sunday)	All weekend days (Saturday and Sunday)
Two days in a row including a weekday and a weekend (Friday and Saturday)	All seven days of the week (Monday to Sunday)

# Amazon Alexa

- Vocal commands in natural language
- Vocal responses and actions



<https://www.youtube.com/watch?v=Ymewnb3gJJQ>



# Amazon Alexa

- *Sorry, I'm having problems in understanding you right now...*



<https://www.youtube.com/watch?v=XQCHoKAq9xA>

# Google Home



<https://www.youtube.com/watch?v=e2RoNSKtVAo>

# Jibo

- Emotional attachment object
- Emphatic communication



<https://www.youtube.com/watch?v=FB53BlrTFdw>

# Jibo

- Emotional attachment object
- Emphatic communication



<https://www.youtube.com/watch?v=XSoAlc7cZ2Q>

# AI-based systems as smart tools



Salam Alaikum/ADIA LOAN OFFER Spam x



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Salam Alaikum,

We are a United Arab Emirates based investment company known as Abu Dhabi Investment Authority working on expanding its portfolio globally and financing projects.

# AI-based systems as smart tools



- Digital technologies are Cognitive Artifacts: physical objects designed to display or operate about information for enhancing human cognition (Norman, 1991; Hutchins, 2002)
- *Cognitive Artifacts + Artificial Intelligence = smart tools*
  - look like standard GUIs
  - aim to alleviate some tasks by acting autonomously
  - users are meant to be in control through the interface
  - might be confusing in terms of autonomy vs control because of probabilistic model

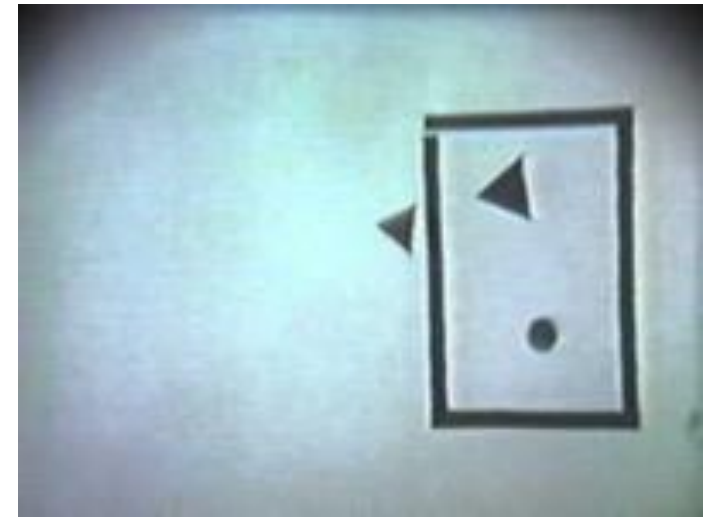
# AI-based systems as artificial companions



# AI-based systems as artificial companions



- Interaction with intelligent systems based on the metaphor of human-human interaction
- Human beings are coded to adopt an intentional stance
  - a tendency to anthropomorphize tools (e.g. Heider-Simmel illusion): yet, that does not imply that we actually believe that tools are intelligent (Reeves and Nass, 1996)
- There is evidence that anthropomorphic features increases UX
  - anthropomorphic features increase trust in an automated car (Waytz, Heafner, and Epley 2014)
  - expression of emotions improves efficacy in collaborative decision making tasks (de Melo, Gratch, and Carnevale 2015)



Heider-Simmel Illusion (1944)



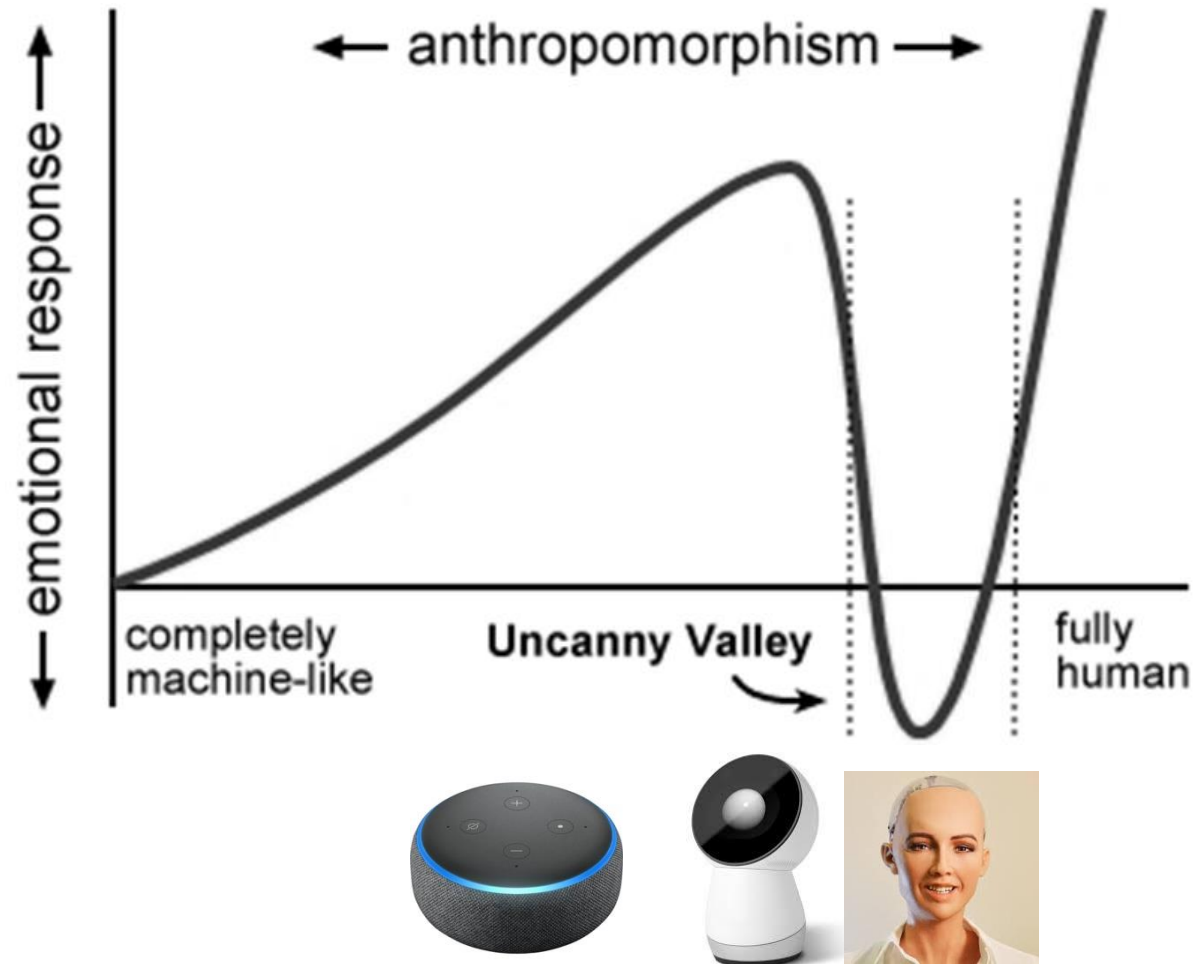
# AI-based systems as artificial companions



- Yet, in the long term, UX can worsen
  - the presence of an anthropomorphized helper reduces enjoyment in games (Kim et al., 2016)
  - over-reliance and over-trust can in the long term bring to security and safety issues (Chung et al., 2017)
- Small aspects can induce larger and unwanted effects, e.g. people attribute negative stereotypes to female-presenting chatterbots more often than they do to male-presenting chatterbots (Brahnam & De Angeli, 2012)
- Keep attention to the **Uncanny Valley**!



# AI-based systems as artificial companions



# Summing up

## Smart Tools

- Smarter but less predictable than objects
- Opaque mental model
- Principles of Interaction Design
- New principles to manage AI

## Artificial Companions

- Almost but not like humans
- Encourage social attribution
- Uncanny valley
- Different principles

# **AI: Risks, Benefits, and User Tolerance**

# What is Different in Interactive AI Systems?

- AI-based systems are typically performed under **uncertainty**
  - often producing false positives and false negatives
- They may demonstrate unpredictable behaviors that can be *disruptive, confusing, offensive*, and even *dangerous* for users



# Low-stake Examples

- **Relevance** errors
  - Airbnb suggesting "fun local activities" when you are traveling for a funeral
  - Exercise app suggesting "time to get up and walk!" when you are seated on a long car trip
- **Multiple** users, **similar** input
  - Use Spotify to play 1970s pop jams at a thematic party
  - Use Spotify to play your favorite study jams at home
  - Use Spotify to hate-listen to <insert here an artist you dislike> with your roommate

*What music should Spotify recommend this account play?*

# What Are The Stakes For AI Failure?

## User: low stakes

- AI feature is annoying or interrupting
- AI feature is often wrong
- AI feature is useless

## User: high stakes

- AI causes active harm (e.g., recidivism prediction or hiring prediction)
- AI reveals information someone wanted kept private
- AI shows offensive content

## Product/Service organization

- Users stop using your app/service because of poor AI performance
- Bad press or legal troubles
- Bad reviews discouraging others from using the app/service

# Traditional Guidelines and AI

- AI-based systems can also violate established usability guidelines of traditional user interface design
  - for instance: consistency or error prevention
- Many AI components are inherently **inconsistent**
  - they may respond differently to the same text input over time (e.g., autocompletion systems suggesting different words after language model updates)
  - or behave differently from one user to the next (e.g., search engines returning different results due to personalization)



# What is an AI-based System?

- Artificial intelligence (AI) refers to systems that display intelligent behaviour **by analysing their environment** and **taking actions** – with some degree of **autonomy** – to achieve specific goals.

AI for Europe, COM/2018/237 <https://www.europeansources.info/record/communication-artificial-intelligence-for-europe/>

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**Recognition**

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```
graph TD; A[analysing their environment] --> B[Recognition]; C[taking actions] --> D[Prediction]
```





Recognition

Prediction

# Optimizing for Precision vs. Optimizing for Recall

		Recognition/Prediction	
		Positive	Negative
Reference	Positive	 True Positive	 False Negative
	Negative	 False Positive	 True Negative





# Optimizing for Precision vs. Optimizing for Recall

		Recognition/Prediction	
		Positive	Negative
Reference	Positive	 True Positive	 False Negative
	Negative	 False Positive	 True Negative

**PRECISION =**

**RECALL =**

# Optimizing for Precision vs. Optimizing for Recall

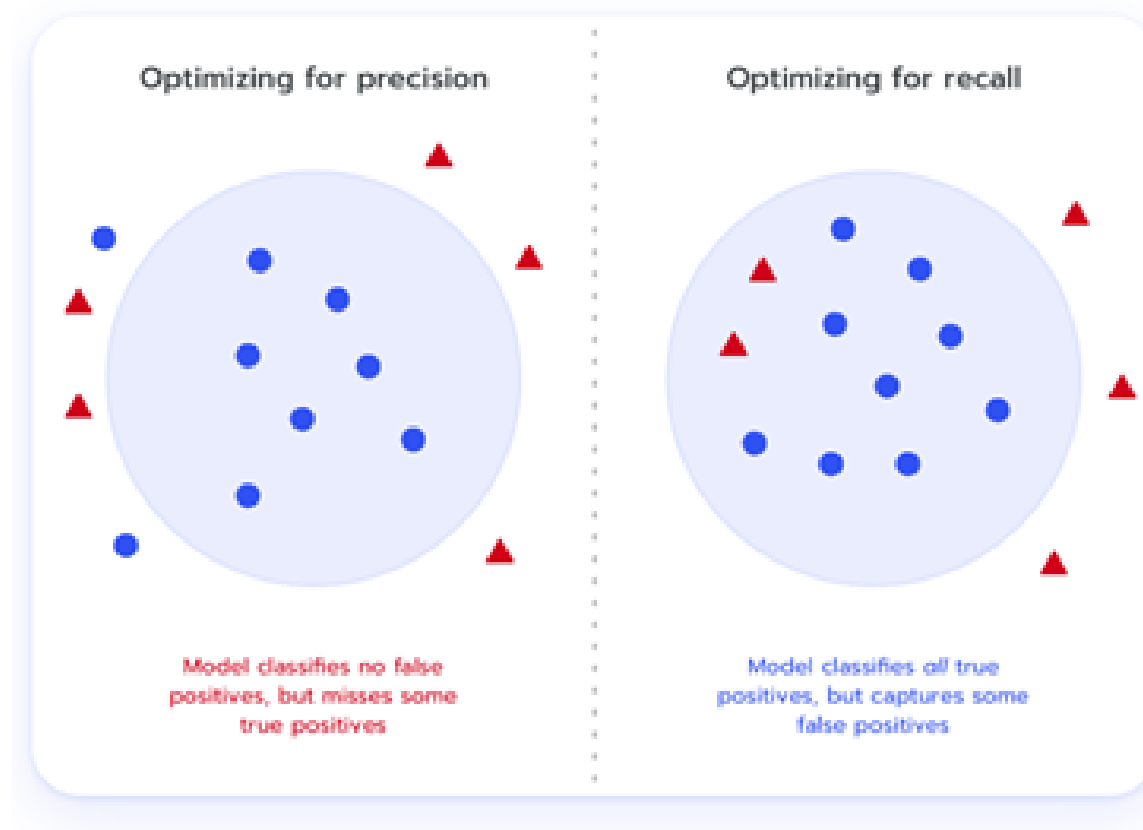
		Recognition/Prediction	
		Positive	Negative
Reference	Positive	 True Positive	 False Negative
	Negative	 False Positive	 True Negative

$$\text{PRECISION} = \text{TP} / (\text{TP} + \text{FP})$$

$$\text{RECALL} = \text{TP} / (\text{TP} + \text{FN})$$

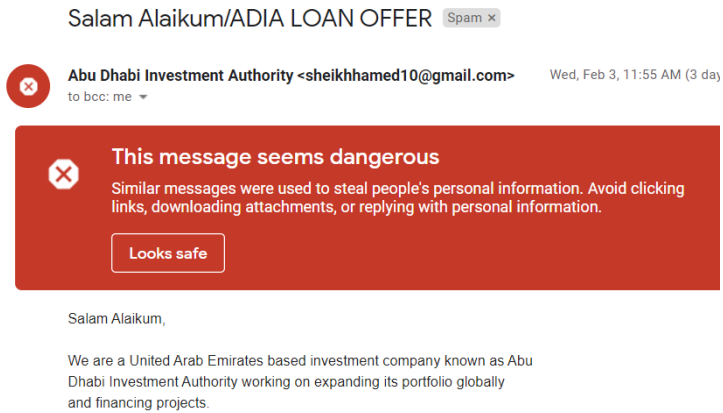
# Optimizing for Precision vs. Optimizing for Recall

The worst  
thing is a false  
alarm



The worst  
thing is missing  
a positive

# Should we optimizing for precision or recall?



## 1. Gmail spam filter



## 2. Google nest



## 3. Alexa



## 4. Jibo



# How Can We Design Interactive AI Systems?

- By shifting from measuring **only** algorithm performance to evaluating human performance and satisfaction, with **human-centered** and participatory approaches (for evaluation, too)
- Deciding when "to AI" and when "not to AI"
- Understanding when to automate (i.e., replace the user) and when to augment users' capabilities
- Balancing the uncertainty of AI systems with proper expectations and feedback

# "To AI or not to AI?"

- After identifying **user needs** and understanding *how* you can solve each of those needs
- Ask yourselves: can AI solve the user need in a unique way? Why?

source: <https://pair.withgoogle.com/worksheet/user-needs.pdf>

AI probably better	AI probably <b>not</b> better
<ul style="list-style-type: none"><li>❑ The core experience requires recommending different content to different users.</li><li>❑ The core experience requires prediction of future events.</li><li>❑ Personalization will improve the user experience.</li><li>❑ User experience requires natural language interactions.</li><li>❑ Need to recognize a general class of things that is too large to articulate every case.</li><li>❑ Need to detect low occurrence events that are constantly evolving.</li><li>❑ An agent or bot experience for a particular domain.</li><li>❑ The user experience doesn't rely on predictability.</li></ul>	<ul style="list-style-type: none"><li>❑ The most valuable part of the core experience is its predictability regardless of context or additional user input.</li><li>❑ The cost of errors is very high and outweighs the benefits of a small increase in success rate.</li><li>❑ Users, customers, or developers need to understand exactly everything that happens in the code.</li><li>❑ Speed of development and getting to market first is more important than anything else, including the value using AI would provide.</li><li>❑ People explicitly tell you they don't want a task automated or augmented.</li></ul>

# AI Features Meet Users

"Human-centered AI focuses on amplifying, augmenting, and enhancing human performance in ways that make systems **reliable, safe, and trustworthy**"

- User tolerance to AI features depends on the role(s) of the feature
- **Critical** or **Complementary**
  - if a system can still work without the feature that AI enables, AI is complementary
- **Proactive** or **Reactive**
  - Proactive: it provides results without people requesting it to do so
  - Reactive: it provides results when people ask for them or when they take certain actions
- **Visible** or **Invisible**
- **Dynamic** or **Static**
  - how features evolve over time

# User Tolerance: Critical or Complimentary

- In general, the more **critical** an app feature is, the more people *need* accurate and reliable results
- On the other hand, if a **complementary** feature delivers results that are not always of the highest quality, people *may* be more forgiving
- Examples
  - Face ID -> critical or complementary?
  - Word suggestions (on smartphones keyboards) -> critical or complementary?
  - What happens if they fail?

# User Tolerance: Proactive or Reactive

- **Proactive** features can prompt new tasks and interactions by providing unexpected, sometimes serendipitous results
- **Reactive** features typically help people as they perform their current task
- Because people *do not ask* for the results that a proactive feature provides, they may have *less* tolerance for low-quality information
  - such features have more potential to be *annoying*

# User Tolerance: Proactive or Reactive

- Proactive features can be helpful
  - in small amounts
  - at the "right" moment
  - if they are easy to dismiss



# User Tolerance: Visible or Invisible

- People's impression of the **reliability** of results can differ depending on whether a feature is *visible* or *invisible*
- With a **visible** feature, people form an opinion about the feature's reliability as they choose from among its results
- It is *harder* for an **invisible** feature to communicate its reliability — and potentially receive *feedback* — because people may not be aware of the feature at all
- Examples?

# User Tolerance: Dynamic or Static

- **Dynamic** features are those that improve as people interact with the system
  - e.g., face recognition for unlocking the phone
- **Static** features *optionally* improve with a new system update
  - e.g., the quality of face recognitions in the photo library on a smartphone
- Such improvements affect other parts of the user experience
  - dynamic features often incorporate some forms of *calibration* and *feedback* (either implicit or explicit)
  - static features may not
- Depending on the feature, such updates can modify the perceived reliability, safety, and/or trustworthiness of a system



# User Tolerance To Give Feedback

- Do not *overuse* feedback requests or users will get annoyed
  - People would not like to feel like the AI is so stupid that it needs their help
- Save for **high stakes** failure, is possible

# Choosing the People+AI Path

Guidelines for mitigating risks, increasing tolerance, and highlighting benefits

# Guidelines for Human-AI Interaction



By Microsoft Research: <https://www.microsoft.com/en-us/research/project/guidelines-for-human-ai-interaction/>

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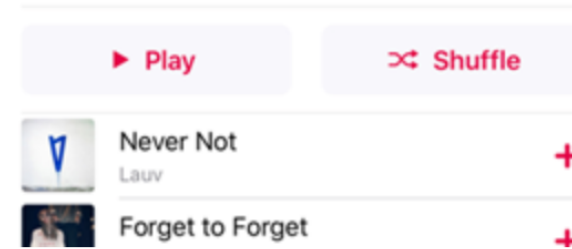
INITIALLY

Make clear how well the system can do what it can do.

Help the user understand how often the AI system may make mistakes.

#### EXAMPLE IN PRACTICE

Discover new music from artists we think you'll like.  
Refreshed every Friday.



The recommender in **Apple Music** uses language such as "we think you'll like" to communicate uncertainty.

Make clear how well the system can do what it can do.

2

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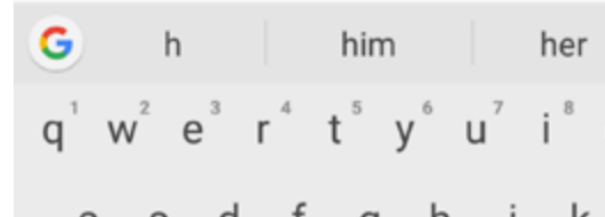
DURING INTERACTION

## Mitigate social biases.

Ensure the AI system's language and behaviors do not reinforce undesirable and unfair stereotypes and biases.

EXAMPLE IN PRACTICE

Do you want to meet h



The predictive keyboard for **Android** suggests both genders when typing a pronoun starting with the letter "h."

Mitigate social biases.

6

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WHEN WRONG

## Support efficient correction.

Make it easy to edit, refine, or recover when the AI system is wrong.

EXAMPLE IN PRACTICE

All

Images

Videos

Maps

757,000 Results

Any time ▾

Including results for **keanu reeves**.  
Do you want results only for **keanu reaves**?

When **Bing** automatically corrects spelling errors in search queries, it provides the option to revert to the query as originally typed with one click.

Support efficient correction.

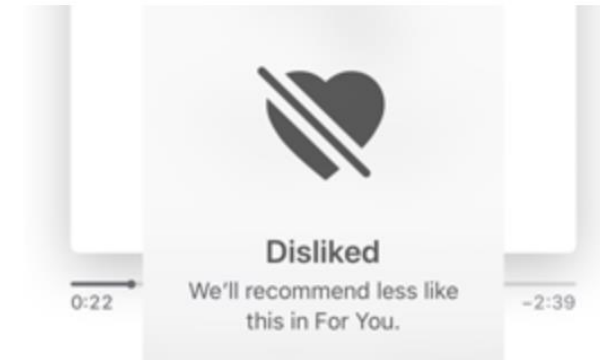
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16  
OVER TIME

## Convey the consequences of user actions.

Immediately update or convey how user actions will impact future behaviors of the AI system.

### EXAMPLE IN PRACTICE



Upon tapping the like/dislike button for each recommendation in **Apple Music**, a pop-up informs the user that they'll receive more/fewer similar recommendations.

Convey the consequences of user actions.

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# Other Guidelines

- Google's People+AI Guidebook: <https://pair.withgoogle.com/guidebook/>
- Apple's Human Interface Guidelines for Machine Learning: <https://developer.apple.com/design/human-interface-guidelines/machine-learning/>
- Microsoft's Human-AI eXperience Toolkit: <https://www.microsoft.com/en-us/haxtoolkit/>



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